

Substitute Form PTO-1449 (Modified)  <b>Information Disclosure Statement          by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 20750-043US1	Application No. 10/561,071
	Applicant Brian Smith, et al.		
	Filing Date May 26, 2006	Group Art Unit 1624	

### U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
/B.C./	A1	US 3652543	3/28/72	Hoegerle	540	594	
/B.C./	A2	US 3716639	2/13/73	Hoegerle et al.	514	217.01	
/B.C./	A3	US 3795683	3/05/74	Brossi et al.	540	586	
/B.C./	A4	US 4108989	8/22/78	Holden	514	217.01	
/B.C./	A5	US 4111957	9/05/78	Holden et al.	540	594	
/B.C./	A6	US 4210749	7/01/80	Shetty	540	594	
/B.C./	A7	US 4210729	7/01/80	Bola V. Shetty	525	247	
/B.C./	A8	US 4233217	11/11/80	Shetty	540	594	
duplicate	<del>A9</del>	<del>US 5387685</del>	<del>2/07/85</del>	<del>Powell et al.</del>			
/B.C./	A10	US 4762845	8/09/88	Chu et al.	514	312	
/B.C./	A11	US 4988690	1/29/91	Effland et al.	514	217.01	
/B.C./	A12	US 5015639	5/14/91	Berger et al.	514	210.21	
/B.C./	A13	US 5178786	1/12/93	Jahnke et al.	252	77	
/B.C./	A14	US 5387685	7/16/93	Powell et al.	546	143	
/B.C./	A15	US 5275915	1/04/94	Kojima et al.	430	264	
/B.C./	A16	US 5412119	5/02/95	Brussee et al.	549	419	
/B.C./	A17	US 5422355	6/06/95	White et al.	514	311	
/B.C./	A18	US 5691362	11/25/97	McCormick et al.	514	339	
/B.C./	A19	US 5750520	5/12/98	Danilewicz et al.	514	212	
/B.C./	A20	US 5856503	1/05/99	Aebi et al.	548	207	
/B.C./	A21	US 5861393	1/19/99	Danilewicz et al.	514	213	
/B.C./	A22	US 5925651	7/20/99	Hutchinson	514	307	
/B.C./	A23	US 5939415	8/17/99	Laufer et al.	514	224.2	
/B.C./	A24	US 5942535	8/24/99	Laufer et al.	514	413	
/B.C./	A25	US 5958943	9/28/99	Laufer et al.	514	299	
duplicate	<del>A26</del>	<del>US 6087346</del>	<del>7/11/00</del>	<del>Glennon et al.</del>	<del>514</del>	<del>65</del>	
	<del>A27</del>	<del>US 6218385</del>	<del>4/17/01</del>	<del>Adam et al.</del>			

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/B.C./	A28	US 6218385	4/17/01	Geo Adam et al.	514	217.01	
/B.C./	A29	US 6900313	5/31/05	Wasserscheid et al.	544	59	
/B.C./	A30	US 6953787	10/11/05	Smith et al.	514	212.02	
/B.C./	A31	US 6972295	12/06/05	Hagmann et al.	514	345	

Foreign Patent Documents or Published Foreign Patent Applications								
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							Yes	No
** /B.C./	A32	DE 1944121	3/19/70	Germany				
** /B.C./	A33	CH 500194	1/29/71	Switzerland				
/B.C./	A34	GB 1221324	2/03/71	United Kingdom				
/B.C./	A35	GB 1225053	3/17/71	United Kingdom				
/B.C./	A36	GB 1268243	3/22/72	United Kingdom				
** /B.C./	A37	NL 7807819	7/21/78	Netherlands				
/B.C./	A38	EP 0007070	1/23/80	Europe				
/B.C./	A39	CA 1090797	12/02/80	Canada				
/B.C./	A40	GB 1599705	10/07/81	United Kingdom				
/B.C./	A41	EP 0080779 A1	6/08/83	Europe				
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/B.C./	A43	EP 0096838 A1	12/28/83	Europe				
/B.C./	A44	EP 0161350 A1	11/21/85	Europe				
/B.C./	A45	EP 0174118 A2	3/12/86	Europe				
/B.C./	A46	EP 0285287 A3	3/23/87	Europe				
/B.C./	A47	EP 0285919	10/12/1988	Europe				
/B.C./	A48	WO 8807858	10/20/88	WIPO				
/B.C./	A49	WO 9119698	12/26/91	WIPO				
/B.C./	A50	WO 9300094	1/07/93	WIPO				
** /B.C./	A51	JP 5339263	12/21/93	Japan				

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** /B.C./	A52	JP 06298746	10/25/94	Japan				
/B.C./	A53	WO 9513274	5/18/95	WIPO				
/B.C./	A54	CA 2197789	8/16/95	Canada				
** /B.C./	A55	WO 9604271	2/15/96	WIPO				
** /B.C./	A56	WO 9605194	2/22/96	WIPO				
** /B.C./	A57	JP 08134048	5/28/96	Japan				
/B.C./	A58	WO 9633993	10/31/96	WIPO				
	A59	JP 09030960	2/04/97	Japan				
	A60	JP 00987258	3/31/97	Japan				
/B.C./	A61	WO 97/24364	7/10/97	WIPO				
/B.C./	A62	WO 9806701	2/19/98	WIPO				
/B.C./	A63	WO 9840385	9/17/98	WIPO				
/B.C./	A64	WO 99/24411	5/20/99	WIPO				
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/B.C./	A66	EP 1074549 A2	2/07/01	Europe				
/B.C./	A67	WO 0240471	5/23/02	WIPO				
/B.C./	A68	WO 0248124	6/20/02	WIPO				
** /B.C./	A69	WO 02074746	9/26/02	WIPO				
/B.C./	A70	WO 03000663	1/03/03	WIPO				
/B.C./	A71	WO 2003/027068	4/03/03	WIPO				
/B.C./	A72	WO 2003/062392	7/31/03	WIPO				
/B.C./	A73	WO 03086306	10/23/03	WIPO				
/B.C./	A74	EP 987235	12/03/03	Europe				
/B.C./	A75	WO 04037788	5/06/04	WIPO				
/B.C./	A76	WO2005/019179A2	03/03/2005	PCT				
/B.C./	A77	WO2005/042490A1	05/12/2005	PCT				
/B.C./	A78	WO2005/042491A1	05/12/2005	PCT				

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							Yes	No
/B.C./	A79	WO 2006/013209	2/09/06	WIPO				
** /B.C./	A80	WO 2006/043710	4/27/06	WIPO				
/B.C./	A81	WO2006/069363A2	06/29/06	WIPO				
/B.C./	A82	WO2006/071740A2	07/06/06	WIPO				

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/B.C./	A84	Chahal et al., IDdb Meeting Report 2000, May 17-18
/B.C./	A85	Chang et al., "Dopamine receptor binding properties of some 2, 3, 4, 5-tetrahydro-1H-3-benzazapine-7-ols with non-aromatic substituents in the 5-position", <i>Bioorg. Med. Chem. Lett.</i> 2:399-402 (1992)
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/B.C./	A88	Di Chiara et al., "Reward System and Addiction: What Dopamine Does and Doesn't Do", <i>Current Opinion in Pharmacology</i> 7:69-76 (2007)
	A89	<del>Diagnostic and Statistical Manual of Mental Disorders, 4th edition, Text Revision, Washington, DC, American Psychiatric Association, 2000*</del>
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/B.C./	A91	Flannery-Schroeder, E. C., "Reducing Anxiety to Prevent Depression", <i>Am. J. Prev. Med.</i> 31(6S1):S136-S142 (2006)
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/B.C./	A99	Halford et al., "Serotonergic Drugs: Effects on Appetite Expression and Use for the Treatment of Obesity", <i>Drugs</i> 67(1):27-55 (2007)
/B.C./	A100	Halford, J.C.G., "Obesity Drugs in Clinical Development", <i>Current Opinion in Investigational Drugs</i> 7(4):312-318 (2006)
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** /B.C./	A102	Hazebroucq, "Acces a des 1-H, tetrahydro-2, 3, 4, 5 benzazepines-3 one-1 et a des hexahydro imidazo isoquinoleines", <i>Ann. Chim.</i> (1966) pp. 221-254
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/B.C./	A105	Hitzig, P., (1994) <i>Journal of Substance Abuse Treatment</i> , 11(5): 489
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/B.C./	A116	Linda D. Williams, <i>Chemistry Demystified</i> 123 (2003)
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/B.C./	A130	Piesla et al., (2001) <i>Schizophrenia Research</i> 49: 95
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/B.C./	A140	Tietze et al., "Efficient synthesis of 2,3,4,5-tetrahydro-1H-3-benzazepines by intramolecular heck reaction", Institut für Organische Chemie der Universität Göttingen, Tammannstraße 2, D-3400 Göttingen, Germany, received January 29, 1993.
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/B.C./	A144	Wisner et al., (2002) <i>N. Engl. J. Med.</i> , 347(3): 194-199
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